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EXPRESS MAL NO. EL897867098US

Please type a plus sign (+) inside this box -> [+]

EAFRESS INVAIL INC. ELEGY 89/00/00/00 DE PTOSE(21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE source to respond to a collection of information unless it displays a valid Office ortion further



## TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	09/897,778					
Filing Date	June 28, 2001					
First Named Inventor	Tongtong Wang					
Group Art Unit	1646					
Examiner Name						
Attorney Docket No.	210121.455C16					

			Allomey Docker No.	210	7121.400010			
ENCLOSURES (check all that apply)								
Fee Transmitte     Fee Attach     Amendment/IR     After Final     After Final     After Affidavits/c     Extension of Ti     Express Abanc     Request     Information Dis     Statement; For     Cited Referenc     Certified Copy     Ocument(s)     Response to M     under 37 C.F.F.     Parts/incomple	ned esponse  declaration(s) ime Request donment sclosure m PTO-1449 ises of Priority flissing Parts R, 1,52 or 1,53 lissing	(for Control of Contro	signment Papers r an Application) awing(s) awing	-	CD(s), Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Additional Enclosure(s) (please identify below): py of Notice to File Missing Ints; Application Data Sheet			
Remarks								
					0000			
Individual Name	Jeffrey E. Hu		PPLICANT, ATTORNEY,	UK /	00500 PATENT TRADEMARK OFFICE			
Signature								
Date	January 11, 2002							
CERTIFICATE OF MAILING  Thereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.  Typed or printed name								
Signature				Dat	e:			

EXPRESS MAIL NO. EL897867098US

Approved for use through 10/31/2002 OMB 0651-0032								
	Complete if Known							
TRANSMITTAL		Application Number			09/897,778			
OV. I EN TIMATION I TAL	Filing Date June 28			Jun	e 28, 2001			
ິ ຸ ໜ <b>ຶ່ງ</b> pr FY 2002	First N	First Named Inventor Tongto			tong Wang			
1 .4 7 ' 14	Examiner Name							
Patent des are subject to annual revision.		Group Art Unit 1646			8			
TOTAL AMOUNT OF PAYMENT (\$) 870	-	Attorney Docket No.			210121.455C16			
TRADE								
METHOD OF PAYMENT	FEE CALCULATION (continued)  3. ADDITIONAL FEES							
Payment Enclosed:	Large	Entity	Sn Fee	iali Fee	Fee			
★ Check Credit card Money Order Other	Code	(\$)	Code	(\$)	Fee Description Paid			
Deposit Account	105	130	205		Surcharge - late filing fee or oath 130			
Deposit Account Number	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.			
Deposit Account Seed Intellectual Property Law Group PLLC	139	130	139		Non-English specification For filing a request for ex parte			
Name	147	2,520	147	2,520	reexamination			
The Commissioner is authorized to (check all that apply)  Charge fee(s) indicated below Credit any overpayments	112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
Charge any additional fee(s) during the pendency of this application	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
Charge fee(s) indicated below, except for the filling fee	115	110	215		Extension for reply within first month			
Charge any deficiencies	116	400	216	200	Extension for reply within second month			
to the above-identified deposit account.	117	920	217	460	Extension for reply within third month			
Applicant claims small entity status. See 37 CFR 1.27.	118	1,440	218	720	Extension for reply within fourth month			
FEE CALCULATION	128	1,960	228		Extension for reply within fifth month			
BASIC FILING FEE	119 120	320 320	219 220	160 160	Notice of Appeal Fiting a brief in support of an appeal			
Large Entity Small Entity Fee Fee Fee Fee Fee Description	121	280	221		Request for oral hearing			
Code (\$) Code (\$) Fee Paid  101 740 201 370 Utility filing fee 740	138	1,510	138	1,510	Petition to institute a public use proceeding			
106 330 206 165 Design filing fee	140	110	240	55	Petition to revive - unavoidable			
107 510 207 255 Plant filing fee 108 740 208 370 Reissue filing fee	141	1,280	241	640	Petition to revive – unintentional			
114 160 214 80 Provisional filing fee	142	1,280	242	640 230	Utility issue fee (or reissue)			
SUBTOTAL (1) (\$) 740	143	460 620	243		Design issue fee Plant issue fee			
2. EXTRA CLAIM FEES	122	130	122		Petitions to the Commissioner			
Extra Fee from Fee Claims below Paid	123	50	123	50	Petitions related to provisional			
Total Claims 20 = 0 - =	126	180	126	180	applications Submission of Information Disclosure Stmt			
Independent 3 = 0 =	581	40	581	40	Recording each patent assignment per properly (times number of			
Dependent Large Entity Small Entity	146	740	246	370	properties) Filing a submission after final rejection			
Fee Fee Fee Fee Description  Code (\$) Code (\$)	149	740	249	370	(37 ČFR § 1.129(a)) For each additional invention to be			
103 18 203 9 Claims in excess of 20	1				examined (37 CFR § 1 129(b))			
102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid	179	740	279	370	Request for Continued Examination (RCE)			
109 84 209 42 "Reissue independent claims over original patent	169	900	169	900	Request for expedited examination of a design application			
110 18 210 9 "Reissue claims in excess of 20 and over original patent	Other fe	Other fee (specify)						
SUBTOTAL (2) (\$) 0	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130							
**or number previously paid, if greater, For Reissues, see above								
Name Jeffrey E. Hundley	Registral	tion No.	12 4	76				
Firm Name/	Attorney	Altomey/Agent) 42,676						
Address					00500			
Signature	Date	Janua	rv 11	. 200	2 PATENT TRADEMARK OFFICE			

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# UNITED STATES PATENT. OFFICE



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE 06/28/2001

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/897 778

Tongtong Wang 210121 455C16

CONFIRMATION NO. 1354

00500 SEED INTELLECTUAL PROPERTY LAW GROUP PLLC 701 FIFTH AVE

**SUITE 6300** SEATTLE, WA 98104-7092 FORMALITIES LETTER \*OC000000007062916\*

Date Mailed: 11/14/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- . The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- . The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice MUST be returned with the reply.

Customer Service Conter Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/17/2002 NROCHA1 00000054 09897778

01 FC:101 02 FC:105

740.00 DP 130.00 RP



PATENT

## IN THE UNIFERD SPATES PATENT AND TRADEMARK OFFICE

Applicants

: Tongtong Wang et al.

Application No.

09/897,778

Filed

June 28, 2001

For

COMPOSITIONS AND METHODS FOR THE THERAPY AND

DIAGNOSIS OF LUNG CANCER

Art Unit Docket No. : 1646 : 210121.455C16

Date

January 11, 2002

Box Missing Parts Commissioner for Patents Washington, DC 20231

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

## Commissioner for Patents:

In response to the Notice to File Missing Parts dated November 14, 2001, please find enclosed the following documents:

X Fee

X Oath/Declaration

X Copy of Notice to File Missing Parts

Sheets of Formal Drawings

Respectfully submitted,

Tongtong Wang et al.

Seed Intellectual Property Law Group PLLC

Jeffrey E. Hundley

Registration No. 42,676

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